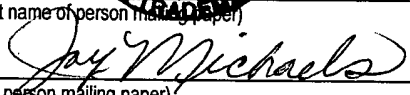


#3

**PATENT**  
**Attorney Docket No. 380048-94**

CERTIFICATE OF MAILING	
I hereby certify that on June 12, 2002, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231	
<u>37 CFR 1.8(a)</u> <input checked="" type="checkbox"/> with sufficient postage as first class mail	<u>37 CFR 1.10</u> as "Express Mail Post Office to Addressee" Mailing Label
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**Serial No. 10/077,493**  
**Filed: February 15, 2002**  
**Title: Steroidal Sapogenins and Their Derivatives for Treating Alzheimer's Disease**  
**Applicant(s): Zongqin Xia, et al.**  
**Group Art Unit No. 1623**  
**Examiner: Unknown**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner of Patents  
Washington, D.C. 20231

**SUBMISSION OF SEQUENCE LISTING, COMPUTER READABLE COPY  
AND/OR AMENDMENT PERTAINING THERETO FOR BIOTECHNOLOGY  
INVENTION CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE  
(37 CFR §§ 1.821-1.825)**

Dear Sir:

Responsive to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures, mailed April 18, 2002, Applicants enclose herewith a copy of the SEQUENCE LISTING in computer readable (ASCII) form. A paper copy of the SEQUENCE LISTING in compliance with 37 CFR §§ 1.821-1.825 for the nucleotide and amino acid sequences disclosed in the above-referenced application is also submitted herewith.

**STATEMENT THAT COMPUTER READABLE COPY OF  
THE SEQUENCE LISTING IS THE SAME AS THE  
PAPER COPY OF THE SEQUENCE LISTING**

I hereby state:

1. The computer readable (ASCII) form of the SEQUENCE LISTING submitted in this application is the same as the paper copy of the SEQUENCE LISTING to which it is indicated to relate.

2. All papers accompanying this submission introduce no new matter to the accompanying application.

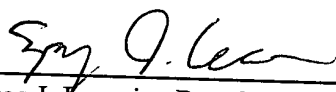
VERIFICATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of the Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please charge any additional fees or credit overpayment to Deposit Account No. 16-2230.

Respectfully submitted,

Dated: June 12, 2002

  
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Spyros J. Lazaris, Reg. No. 45,981  
Attorney for Applicant

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